

Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrp Code:

Shareholding Pattern Filed under: Reg. 31 (1) (b) Quarter Ended: 31/03/2018

Particulars	Yes	No
1 Whether the Listed Equity has issued any Partly Paid up shares?		No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4 Whether the Listed Equity has any shares in Locked-in?		No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?		No

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shares	No. of Fully Paid-up	No. of Partly Paid-up	No. of Shares Underlying	Total Nos. Shares	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying	Shareholding as % assuming full conversion of securities (including Warrants) (X)	Number of Locked in shares (XI)	Number of Shares Registered or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
								Class X	Class Y	Total	Total as a % of total voting Rights					
(A)	Promoter & Promoter Group	15	2232900			2232900	44.656	2232900		2232900	44.656				779700	
(B)	Public	3347	2767300			2767300	55.344	2767300		2767300	55.344				566400	
(C)	Non Promoter - Non Public															
(C1)	Shares underlying DRs															
(C2)	Shares held by Employee Trusts															
TOTAL		3362	5000200			5000200	100.000	5000200		5000200	100.000				1346100	

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity Shares Held	No. of Partly Paid-up Equity Shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (XI)=(VII)+(X)	Number of Locked in shares (XII)	Number of Shares Registered or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of total voting Rights					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=	(IV)+(V)+(VI)	(VIII)					(X)	(XI)=(VII)+(X)			(XIV)
(1)	Indian																
(a)	Individuals/Hindu undivided Family		13	2164900			2164900	43.296	2164900	2164900	43.296		43.296				779700
	ANIL NAHATA			30000			30000	0.600	30000	30000	0.600		0.600				
	AVINASH NAHATA			30000			30000	0.600	30000	30000	0.600		0.600				
	BALCHAND SINGHI			203400			203400	4.068	203400	203400	4.068		4.068				
	HATHE MAL NAHATA			80100			80100	1.602	80100	80100	1.602		1.602				
	KAMAL SINGHI	AMIF2603P		50100			50100	1.002	50100	50100	1.002		1.002				50000
	MADHU SINGHI			50000			50000	1.000	50000	50000	1.000		1.000				
	PRAKASH CHAND NAHATA			80000			80000	1.600	80000	80000	1.600		1.600				
	RAJ KUMAR NAHATA	ABMPN8448E		723400			723400	14.467	723400	723400	14.467		14.467				235800
	SARIKA NAHATA			30000			30000	0.600	30000	30000	0.600		0.600				
	SAROJ DEVI NAHATA			30000			30000	0.600	30000	30000	0.600		0.600				
	SHESH KARAN SINGHI	AKLPS6473J		693900			693900	13.877	693900	693900	13.877		13.877				493900
	SUMAN NAHATA			50000			50000	1.000	50000	50000	1.000		1.000				
	SUMAN SINGHI			114000			114000	2.280	114000	114000	2.280		2.280				
(b)	Central Government/State Government(s)																
(c)	Financial Institutions/Banks																
(d)	Any Other Bodies Corporate		2	68000			68000	1.360	68000	68000	1.360		1.360				
	RACHYITA INVESTMENT PVT. LTD.			48000			48000	0.960	48000	48000	0.960		0.960				
	SAMRAT MERCANTILE PVT. LTD.			20000			20000	0.400	20000	20000	0.400		0.400				
	Trusts																
	Clearing Members																
	Sub-Total (A) (1)		15	2232900			2232900	44.656	2232900	2232900	44.656		44.656				779700
(2)	Foreign																
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																
(b)	Government																
(c)	Institutions																
(d)	Foreign Portfolio Investor																
(e)	Any Other OCBs																
	Sub-Total (A) (2)																
	Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)		15	2232900			2232900	44.656	2232900	2232900	44.656		44.656				779700

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity Shares Held	No. of Partly Paid-up Equity Shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. Shares	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Outstanding Shares (Including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (XI) = (VII) + (X)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
										Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)										
(1)	Institutions																
(a)	Mutual Funds		2	83500			83500	83500	1.670	83500	83500	1.670					
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND			73400			73400	73400	1.468	73400	73400	1.468					
(b)	Venture Capital Funds																
(c)	Alternate Investment Funds																
(d)	Foreign Venture Capital Investors																
(e)	Foreign Portfolio Investors																
(f)	Financial Institutions/Banks																
(g)	Insurance Companies																
(h)	Provident Funds/Pension Funds																
(i)	Any Other Foreign Institutional Investors																
	QFI - Corporate																
	IEPF Authority																
	Sub-Total (B) (1)		2	83500			83500	83500	1.670	83500	83500	1.670					
(2)	Central Government/State Government(s)/President of India																
	Sub-Total (B) (2)																
(3)	Non-Institutions																
(a)	Individuals																
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		3285	1546800			1546800	1546800	30.935	1546800	1546800	30.935					357400
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		2	275000			275000	275000	5.500	275000	275000	5.500					
	RAKHEE SHYAMSUKHA			250000			250000	250000	5.000	250000	250000	5.000					
(b)	NBFCs registered with RBI																
(c)	Employee Trusts																
(d)	Overseas Depositors (holding DRs) (balancing figure)																
(e)	Any Other Bodies Corporate		53	860600			860600	860600	17.211	860600	860600	17.211					207600
	GDB SHARE & STOCK BROKING SERVICES LTD			200000			200000	200000	4.000	200000	200000	4.000					
	HUNGERFORD CONSULTANTS PRIVATE LIMITED			80500			80500	80500	1.610	80500	80500	1.610					80500
	M H FINANCE AND MERCANTILE PRIVATE LTD			83400			83400	83400	1.668	83400	83400	1.668					
	PAGARIA HOLDING PVT. LTD.	AAPCF3996C		67500			67500	67500	1.350	67500	67500	1.350					
	VICCO PRODUCTS BOMBAY PVT LTD	AAACV4446N		65600			65600	65600	1.312	65600	65600	1.312					65600
	WELLSWORTH MULTYFIN (P) LTD			98400			98400	98400	1.968	98400	98400	1.968					
	QFI - Individual																
	NRI - Repatriable																

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying (X)	Shareholding as % assuming full conversion of securities (including Warrants) (XI) = (VII)+(X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									Class X	Class Y	Total	Total as a % of total voting Rights					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)										
Total Non-Promoter - Non-Public Shareholding (C) = (C) (I) + (C) (2)																	

- (1) Custodian, DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014)